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FROM Volel Emile

Fax Number (512) 306-0240

Phone Number (512) 306-7969

SUBJECT Supplemental IDS with references 10/732,780

Number of Pages 28

Date 4/5/2004

MESSAGE

This fax transmission includes:

1. one copy of a Transmittal Form;
 2. one copy of a Fee Transmittal Form;
 3. one copy of an IDS Transmittal Letter;
 4. one copy of an IDS List; and
- one copy of 5 references.

Volel

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$) 0.00

Complete if Known

Application Number	10/732,780
Filing Date	12/10/2003
First Named Inventor	Brown
Examiner Name	
Art Unit	3624
Attorney Docket No.	AUS920030335US1

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit

Account

Number

Deposit

Account

Name

09-0447

IBM Corporation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$) Code (\$)

1001 770 2001 365

1002 340 2002 170

1003 530 2003 205

1004 770 2004 365

1005 160 2005 80

Fee Description

Fee Paid

Utility filing fee

Design filing fee

Plant filing fee

Reissue filing fee

Provisional filing fee

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims -20** = X Fee from below Fee Paid

Independent Claims -3** = X Fee Paid

Multiple Dependent

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$) Code (\$)

1202 18 2202 9

1201 86 2201 43

1203 280 2203 145

1204 86 2204 43

1205 18 2205 9

Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

** Reissue independent claims over original patent

** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$) Code (\$)

1051 130 2051 65

1052 50 2052 25

1053 130 1053 130

1812 2,520 1812 2,520

1804 020* 1804 020*

1805 1,840* 1805 1,840*

1251 110 2251 55

1252 420 2252 210

1253 950 2253 475

1254 1,480 2254 740

1255 2,010 2255 1,005

1401 330 2401 165

1402 330 2402 165

1403 290 2403 145

1451 1,510 1451 1,510

1452 110 2452 55

1453 1,330 2453 665

1501 1,330 2501 665

1502 480 2502 240

1503 640 2503 320

1460 130 1460 130

1807 50 1807 50

1808 180 1808 180

8021 40 8021 40

1808 770 2808 385

1810 770 2810 385

1801 770 2801 385

1802 800 1802 800

Fee Description

Fee Paid

Surcharge - late filing fee or oath

Surcharge - late provisional filing fee or cover sheet

Non-English specification

For filing a request for ex parte reexamination

Requesting publication of SIR prior to Examiner action

Requesting publication of SIR after Examiner action

Extension for reply within first month

Extension for reply within second month

Extension for reply within third month

Extension for reply within fourth month

Extension for reply within fifth month

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to institute a public use proceeding

Petition to revive - unavoidable

Petition to revive - unintentional

Utility issue fee (or reissue)

Design issue fee

Plant issue fee

Petitions to the Commissioner

Processing fee under 37 CFR 1.17(q)

Submission of Information Disclosure Stmt

Recording each patent assignment per property (times number of properties)

Filing a submission after final rejection (37 CFR 1.128(a))

For each additional invention to be examined (37 CFR 1.129(b))

Request for Continued Examination (RCE)

Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00**SUBMITTED BY**

Name (Print/Type)

Volei Emile

Registration No.

39,969

(Complete if applicable)

Telephone 512 306 7983

Signature

Date 04/05/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

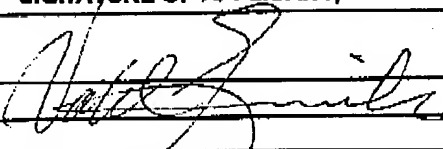
PTO/SB/21 (01-03)


Approved for use through 04/30/2003, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/732,780
	Filing Date	12/10/2003
	First Named Inventor	Brown et al.
	Art Unit	3624
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	AUS920030335US1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.63	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Volel Emile	
Signature		
Date	04/05/2004	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 04/05/2004		
Typed or printed	Volel Emile	
Signature		Date 04/05/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PATENT
Serial No. 10/732,780

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 05 2004

<p>In re: Application of: Brown et al.</p> <p>Serial No.: 10/732,780</p> <p>Filed: 12/10/2003</p> <p>Title: APPARATUS, SYSTEM AND METHOD OF AUTOMATICALLY IDENTIFYING PARTICIPANTS AT A VIDEOCONFERENCE WHO EXHIBIT A PARTICULAR EXPRESSION</p>	<p>Before the Examiner:</p> <p>Group Art Unit: 3624</p>
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

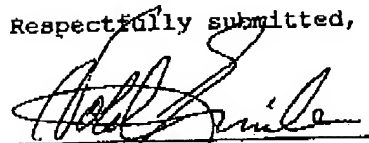
AUS920030335US1

- 1 -

PATENT
Serial No. 10/732,780

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

Respectfully submitted,



Volel Emile
Attorney for Applicants
Registration No. 39,969
(512) 336-1586

AUS920030335US1

- 2 -

In Place of FORM PTO-1449 (Modified)

Serial No. 10/732,780Applicants: Brown et al.**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**Filing Date: 12/10/2003Group: 3624Atty. Docket No. AUS920030335US1

Reference Designation			U. S. PATENT DOCUMENTS			Filing Date if Appropriate
Examiner Initial	Document Number	Date	Name	Class	Subclass	
	AA 6,256,033	07/03/2001	Nguyen	345	863	
	AB 6,128,003	10/03/2000	Smith	345	157	
	AC 6,088,040	07/11/2000	Oda	345	473	
	AD 5,995,924	11/30/1999	Terry	704	207	
	AE 5,744,591	06/30/1998	Black	382	236	
	AF 5,252,951	10/12/1993	Tannenbaum	345	156	

FOREIGN PATENT DOCUMENTS						Translation	
Examiner Initial	Document Number	Date	Country	Class	Subclass	Yes	No
	AL						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)Examiner
Initial

AM "Product Brief: FaceIt[TM] Software Developer Kits", Identix Corporation, Minnetonka, MN. 2 pages.

AN "Facial Expression Recognition using Neural Networks" by Ben Wong, downloaded from <http://www.cs.cornell.edu/boom/2001sp/Wong.%20B/Untitled-3.htm> on Aug. 15, 2003, 8 pages.

AO "Learning Bayesian Network Classifiers for Facial Expression Recognition using Both Labeled and Unlabeled Data", by Ira Cohen, et al., 7 pages.

AP "Spectral Emphasis as an Additional Source of Information in Accent Detection", by Matthias Heldner, 4 pages.

AQ "Abstract: Robust Facial Expression Recognition Using a State-based Model of Spatially-Localised Dynamics" by F. Bourel, et al., 1 page.

AR

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 1 of 1